SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person * English Katherine					2. Issuer Name <b>and</b> Ticker or Trading Symbol ALICO, INC. [ ALCO ]							onship of Reporting Pers all applicable) Director			son(s) to Issuer 10% Owner		
(Last)	(First)	3. Date of 10/03/2	f Earliest Transacti 022	'Year)		Officer (give title below)			Other (specify								
7951 DENI DRIVE					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) NORTH FORT FL 33917 MYERS											-	•	eporting Person han One Reportir	ıg Person			
(City)	(State)	(Zi	ip)														
		Ta	able I - Non	-Derivative S	ecurities Acq	uired,	Disp	osed of,	or Be	enefic	cially Ow	ned					
1. Title of Security (Instr. 3)       2. Tran Date (Month         Alico, Inc., Common Stock, Par Value \$1.00       10/0					2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				5. Amount of Securities Beneficially Or Following Rep	owned ported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
						Code	v	Amount	(A) (D)	.) or )	Price	<ul> <li>Transaction(s) (Instr. 3 and 4)</li> </ul>			(Instr. 4)		
						A		679 <sup>(1)</sup>		Α	\$29.48	6,200		D			
					curities Acqui lls, warrants, o						•	ed					
			4. te, Transaction	5. Number of Derivative	6. Date Exercisable and Expiration Date Securities Under (Month/Day(Yoor))			derlying	ring Derivative d		of 10. Ownership	11. Nature of Indirect					

Security (Instr. 3)	or Exercise Price of Derivative Security	(Month/Day/Year)	if any (Month/Day/Year)	Code (Instr. 8)		Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		(Month/Day/Year)		Derivative Security (Instr. 3 and 4)		Security (Instr. 5)	Securities Beneficially Owned Following Reported	Direct (D)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		

Explanation of Responses:

1. These shares were issued under the Stock Incentive Plan of 2015.

Remarks:

## Katherine R. English

\*\* Signature of Reporting Person

10/04/2022

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.