SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*<br>English Katherine   |         |                |            | 2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>ALICO, INC.</u> [ ALCO ] |  |   |   |          |   |            |          | tionship of R<br>all applicab<br>Director |   | erson(s) to Is | uer<br>Owner  |                         |  |  |
|---|---------|----------------|------------|--|--|---|---|----------|---|------------|----------|---|---|----------------|---|-------------------------|--|--|
| (Last)  |         |                |            |  | 3. Date of Earliest Transaction (Month/Day/Year)<br>01/03/2023 |   |   |          |   |            |          |   | Officer (g<br>below)  | ive title      |   | er (specify             |  |  |
| 7951 DENI DRIVE   |         |                |            |  | 4. If Amendment, Date of Original Filed (Month/Day/Year)       |   |   |          |   |            |          |   | 6. Individual or Joint/Group Filing (Check Applicable Line) |                |   |                         |  |  |
| (Street)<br>NORTH FORT<br>MYERS   | Г FL    | 3.             | 3917       |  |  |   |   |          |   |            |          | X   |   |                | Reporting Pers  |                         |  |  |
| (City)  | (State) | (Z             | ip)        |  |  |   |   |          |   |            |          |   |   |                |   |                         |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned  |         |                |            |  |  |   |   |          |   |            |          |   |   |                |   |                         |  |  |
| Date  |         |                |            |  | saction<br>/Day/Year)  | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr.<br>8) |          | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 a |            |          |   | nd 5) Securities<br>Beneficially Owne<br>Following Reporte  |                | 6. Ownership<br>Form: Direct<br>or Indirect (I)<br>(Instr. 4) | Beneficial<br>Ownership |  |  |
|   |         |                |            |  |  |   | Code                                    | v        | Amount  | (A)<br>(D) |          | Price                                     | <ul> <li>Transaction(s)<br/>(Instr. 3 and 4)</li> </ul>     |                |   | (Instr. 4)              |  |  |
| Alico, Inc., Common Stock, Par Value \$1.00 01/0  |         |                |            | 3/2023   |  | A   |   | 840(1)   |   | A          | \$23.83  | 5,940                                     |   | D              |   |                         |  |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned<br>(e.g., puts, calls, warrants, options, convertible securities) |         |                |            |  |  |   |   |          |   |            |          |   |   |                |   |                         |  |  |
| 1. Title of   | 2.      | 3. Transaction | 3A. Deemed | 4  | 5. Number of 6. Date Exercisable and 7. Title and Amo          |   |   | nount of | 8. Price of   | 9. Number  | r of 10. | 11. Nature                                |   |                |   |                         |  |  |

|  |  | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction<br>Code (Instr.<br>8) |   | Derivative |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                                     | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | Following<br>Reported        | Direct (D) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|--|--|--|---|-----------------------------------|---|------------|-----|--|--------------------|--|-------------------------------------|---|------------------------------|------------|--|--|
|  |  |  |   | Code                              | v | (A)        | (D) | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of Shares |   | Transaction(s)<br>(Instr. 4) |            |  |  |

Explanation of Responses:

1. These shares were issued under the Stock Incentive Plan of 2015.

Remarks:

## Katherine R. English

\*\* Signature of Reporting Person

01/04/2023

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.